

UNITED STATES BANKRUPTCY COURT  
Southern District Of New York

In re: Lehman Brothers Holdings Inc., et al.,  
Administered

Case No. 08-13555 (SCC)  
(Jointly)

**TRANSFER OF CLAIM OTHER THAN FOR SECURITY**

A CLAIM HAS BEEN FILED IN THIS CASE or deemed filed under 11 U.S.C. § 1111(a). Transferee hereby gives evidence and notice pursuant to Rule 3001(e)(2), Fed. R. Bankr. P., of the transfer, other than for security, of the claim referenced in this evidence and notice.

**Thang Shu-Jen**

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Name of Transferee

Name and Address where notices to transferee should be sent:

101 PRINCE CHARLES CRES #15-03  
SINAGPORE 159017

Phone: (65) 97330523

Name and Address where transferee payments should be sent (if different from above):

**The Hongkong and Shanghai Banking Corporation Limited, Hong Kong Branch**

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Name of Transferor

Court Claim # (if known): 56669

Amount of Claim: See attached Evidence of Partial Transfer of Claim

Date Claim Filed: 29 October 2009

Phone: +852 3604 7640  
Last Four Digits of Acct. #: 6253

I declare under penalty of perjury that the information provided in this notice is true and correct to the best of my knowledge and belief.

By: \_\_\_\_\_

Signed in my presence

Date: 12 AUG 2021

Transferee/Transferee's Agent  
Name: Thang Shu-Jen

Pesigan Marie Matt  
064179  
43808180



(S.V.) / Original Sighted  
Dhurga Devi  
Universal Banking Ambassador  
43343249  
069886

*Penalty for making a false statement:* Fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 & 3571.

RESTRICTED

E2.275174

**EVIDENCE OF PARTIAL TRANSFER OF CLAIM**

TO: Clerk, United States Bankruptcy Court, Southern District of New York

**THE HONGKONG AND SHANGHAI BANKING CORPORATION LIMITED, HONG KONG BRANCH** ("Transferor"), does hereby certify that, as of 12 August, 2021, it has unconditionally and irrevocably transferred and assigned to Thang Shu-Jen ("Transferee"), to the extent specified below (the "Transferred Claim"), Transferor's right, title and interest in and to Proof of Claim Number 56669 filed by or on behalf of Transferor against Lehman Brothers Holdings Inc., debtor in Case No. 08-13555 (SCC) in the United States Bankruptcy Court for the Southern District of New York, solely in the following amounts (the "Claim").

ISIN	Issuer	Guarantor	Principal Amount Transferred
XS0326025920	Lehman Brothers Treasury Co BV	LEHMAN BROTHERS HOLDINGS INC	USD250,000.00

For the avoidance of doubt, no portion of the Claim has been transferred to the Transferee other than the Transferred Claim.

Transferor hereby waives any notice or hearing requirements imposed by Rule 3001 of the Federal Rules of Bankruptcy Procedure, and stipulates that an order may be entered recognizing the assignment evidenced by this Evidence of Transfer of Claim as an unconditional assignment and Transferee herein as the valid owner of the Transferred Claim. You are hereby requested to make all future payments and distributions, and to give all notices and other communications in respect of the Transferred Claim to Transferee.

IN WITNESS WHEREOF, dated as of the 12 of August, 2021.

**THE HONGKONG AND SHANGHAI BANKING CORPORATION LIMITED**

By: \_\_\_\_\_ For and on behalf of  
The Hongkong and Shanghai Banking Corporation Limited

Name: Terry Lam \_\_\_\_\_  
Title: \_\_\_\_\_  
Tel.: Terry Lam  
Head of Private Banking Operations  
Private Banking Division

**Thang Shu-Jen**

By: \_\_\_\_\_ Thang Shu-Jen \_\_\_\_\_  
Name: THANG SHU JEN \_\_\_\_\_  
Title: \_\_\_\_\_  
Tel.: 65-97330523 \_\_\_\_\_

12 AUG 2021

Signed in my presence

  
Pesigan Marie Matt  
064179

43808180



  
(S.V.) / Original Sighted  
Dhurga Devi  
Universal Banking Ambassador  
43343249  
069886

E2.275192

RESTRICTED